Is your mobility plan a Sustainable Urban Mobility Plan?

The SUMP Self-Assessment tool to determine your compliance with the EC Guidelines

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What is the policy context of Sustainable Urban Mobility Plans (SUMP)?

- European Commission's recommendation to develop SUMP: **Urban Mobility Package**, COM(2013) 913, Annex 1
- **SUMP Guidelines**, Jan 2014
- [http://www.eltis.org/mobility-plans](http://www.eltis.org/mobility-plans)
- **SUMP-Projects** (e.g. CH4LLENGE)
- **SUMP Coordination Platform** and Annual SUMP Conference
Key characteristics of an SUMP

Urban Mobility Package, Annex 1:

- Long-term vision and clear implementation plan
- Assessment of current and future performance
- Balanced and integrated development of all modes
- Horizontal and vertical integration
- Participatory approach
- Monitoring, review, reporting
- Quality assurance
Why do we need a SUMP Assessment?

  "Local Planning Authorities should have mechanisms to ensure the quality and validate compliance of the Sustainable Urban Mobility Plan with the requirements of the Sustainable Urban Mobility Plan concept"

- Various **Operational Programmes** in the EU's Structural and Investment Funds require local authorities to develop Sustainable Urban Mobility Plans

- On which basis is compliance of a mobility plan with EU Guidelines judged? What are the **criteria and mechanisms** of approval?

Cities, their stakeholders as well as Member States and European institutions require a **clear set of criteria** to decide whether a given plan does indeed meet the criteria of an "SUMP", as set out by the European Commission.
How to ensure SUMP compliance with European guidelines?

- Local authorities and other stakeholders need a tool to assess the compliance of a SUMP with European requirements, based on the EC's SUMP concept and Guidelines as presented in the Urban Mobility Package

How we developed the Self-Assessment Tool

• SUMP Group at Rupprecht developed an assessment concept (first applied for quality certification of Vienna's SUMP, recently for Constanta and Brasov)

• Cooperation with DG MOVE and EASME on Self-Assessment concept and promotion via CH4LLENGE

• Test phase to ensure quality
  – 19 complete SUMP Self-Assessments
  – detailed quality assessments by 12 experts (cities, academia, consultants; ten countries; mainly from CH4LLENGE, CiViTAS)
  – few issues raised, mostly wording, terminology
  – text revisions, glossary and links to ELTIS added before publication
The SUMP Self-Assessment Scheme

- A tool to assess the compliance of a SUMP with EU requirements, based on the **EC's SUMP concept** and **Guidelines** as presented in the Urban Mobility Package.
- An **online self-assessment** that planners can take at their own pace.
- A **free to use** tool for planning authorities
  - Creative Commons license
  - "attribution, non-commercial, no derivatives"
- A **clear and transparent** concept that is publically available.
- An assessment of the SUMP preparation **process** and of the **plan's content** (only checking whether essential policy areas are covered, not their content).
The SUMP Self-Assessment Scheme

- A simple concept of **100 questions** balanced across the SUMP characteristics
- A system that allows **anonymous assessment** as well as **comparison** with peer cities; all city data fully confidential!
- An online tool that is part of a **learning environment**, including an online version of the SUMP Guidelines, training courses and a SUMP glossary
100 questions are structured along

- the nine steps required by the SUMP Guidelines for the completion of a SUMP

12 questions

9 questions

16 questions

5 questions

28 questions

6 questions

6 questions

11 questions

7 questions
SUMP Characteristics

• Each question is labelled with a "SUMP characteristic":
  – defined to characterise "SUMP" (Urban Mobility Package)
  – used to identify strengths and weaknesses

- Long-term vision and clear implementation plan
- Participatory approach
- Balanced consideration of all transport modes
- Sectoral, vertical and spatial integration
- Assessment of current and future performance & cost-benefit analysis
- Monitoring, plan revision and reporting
Scoring of SUMP Self-Assessment

- Questionnaire comprises a total of **100 yes-no questions**
- Each question represents **one point** (i.e. max. score: 100 points)

**15 Excellence Questions**
identifying front-runners

- **Excellence Questions**: criteria particularly advanced cities might meet, awarding plans and processes of exceptionally high quality

**13 Foundation Questions**
setting a minimum level of SUMP compliance

- **Foundation Questions**: basic compliance requirements, minimum SUMP criteria
### Score ranges and SUMP classification

- **Plan not a SUMP**
  - **0-24 points**
  - The planning process does not sufficiently comply with the SUMP concept and the resulting plan should not be considered a Sustainable Urban Mobility Plan.

- **Foundation SUMP**
  - **25-49 points**
  - A planning process based on the SUMP principles has been followed and the resulting plan meets the criteria of a Sustainable Urban Mobility Plan.

- **High Quality SUMP**
  - **50-74 points**
  - A planning process in strong compliance with the SUMP principles has been followed and the resulting plan is a Sustainable Urban Mobility Plan of high quality.

- **Excellent SUMP**
  - **75-100 points**
  - A planning process with a very good compliance with the SUMP principles has been followed and the resulting plan is a Sustainable Urban Mobility Plan of excellent quality.
How to get started with SUMP Self-Assessment?

1. Start at Eltis
2. Get more information
3. Respond to questions

www.eltis.org/mobility-plans/theSUMPprocess
How to get started with SUMP Self-Assessment?

4. Receive detailed feedback

5. Improve your process, compare with other cities
SUMP Self-Assessment: Part of a learning environment

European Platform on Sustainable Urban Mobility Plans

Step 6 - Develop effective packages of measures

The development of effective packages of measures is an essential part of the planning process. Only well-selected measures can contribute to the achievement of the agreed vision, objectives, and targets.

For more information, please read the section on “Step 6” in the SUMP Guidelines.

Foundation Question

49. Does the SUMP make clear how the selected measures will contribute to the achievement of the agreed vision, objectives, and targets?

Yes

50. Have you discussed the measure identification and selection with a group of key stakeholders?

Yes

51. Have you informed stakeholders and citizens about the process of measure identification and selection and invited them to provide feedback?

Yes

52. Have you enabled stakeholders and citizens to get actively involved in discussing the identified measures?

Yes

(online) courses – soon!

glossary of terms

online handbook
Practical use of the SUMP Self-Assessment

• As an **ex-post assessment**: after SUMP process has been finalised and the plan is approved

• But can be used for additional purposes:
  – During **plan preparation** to check the likely compliance or to guide the development process
  – For the **evaluation** of an earlier mobility plan
  – For national, European stakeholders to track **implementation status** of the SUMP concept

• **Guidance** for authorities planning to develop a SUMP ("SUMP minimum requirements")
An Outlook into the Future

• Long-term aim is to help ensure quality of SUMP processes and plans and maintain the integrity of the SUMP concept.

• Use as a quality verification tool for Member States and Operational Programmes (ESIF, EBRD) [following cooperation agreement]

• SUMP status monitoring tool of aggregated assessment results (planned)
  – Anonymised benchmarking information
  – In-depth analyses of assessment results across Europe’s regions, against SUMP characteristics, SUMP development steps etc.
  – Examples: [snapshot from test phase, only for illustration]
    • Average score during test phase of "73", but 9 cities failing on "foundation questions"
    • "Assessment of performance and cost-benefit analysis" is weakest area.
1. Tool to **assess compliance** with the EU SUMP guidance.

2. Primary use as **self-assessment** after plan completion. Additionally to assess previous plans or before/during **plan preparation**.

3. **Transparent concept** with 100 easy to understand yes-no questions, following SUMP cycle.

4. Provides **feedback on strengths and weaknesses** (for steps and SUMP characteristics), clear **overall score**.

5. Focus on **planning process**, only completeness check of content.

6. **Publicly available** tool for any type of city, usable at own pace.

7. Available for **free** (for non-commercial use).

8. Part of **learning environment** with online guidelines, a glossary, courses.

9. Strategic use as a **progress monitoring** and **quality verification** tool.

10. **Quality tool** developed by the authors of the SUMP Guidelines in cooperation with DG MOVE and EASME.
The official launch of the SUMP Self-Assessment Tool at the 2015 POLIS Conference!
Thank you for your attention!

SUMP Self-Assessment
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Feedback and questions welcome:
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Rupprecht Consult has developed the SUMP Self-Assessment which is disseminated in the framework of the EU co-funded project CH4LLENGE. The Self-Assessment Scheme is made available free of charge for non-commercial use and is subject to a Creative Commons licence ("attribution, non-commercial, no derivatives").